

SWORN STATEMENT For use of this form, see AR 190-45; the proponent agency is ODCSOPS PRIVACY ACT STATEMENT **AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN). PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval. DISCLOSURE: Disclosure of your social security number is voluntary. 1. LOCATION 2. DATE (YYYYMMDD) 3. TIME 4. FILE NUMBER Fob lovally Bandad Trag. 5. LAST NAME, PIRST NAME, MIDDLE NAME 20060713 14124 7. GRADE/STATUS C-5/Active 8. ORGANIZATION HUB 4 32001 FAR FO8 WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH: are team recieved a mission about a kidnepped girl by AlF. 5P the gate a 2100 took others to wild, ingressed 55W got street in truck, moved the rest of the way dismounted. Stack teams hit objectiv C my stack team precessed Alpha, moved through the fields, crossed under , guys at 6001 hall came running and, one Irag; grabbed Weapon, they struggled I threat timed a warning shot (Aimed) LOSA Site of the number got the call there was a wounded Iraqi II called 74 up to higher, medic was brought down my steek team went on with the mission. It 10. EXHIBIT 11. INITIALS OF PERSON MAKING STATEMENT PAGE 1 OF **PAGES** ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT

DA FORM 2823, DEC 1998

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THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBEP

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BY ME. THE STATEMENT IS TRUE. I HAVE INITIAL CONTAINING THE STATEMENT. I HAVE MADE THIS	AFFIDAVIT . HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT . I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE LED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE S STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT DN, UNLAWFUL INFLUENCE/ OR UNLAWFY ENDUGEMENT. (Signature of Person Western Statement)
WITNESSES:	Subscribed and sworn to before me, a person authorized by law to administer oaths, this <u>IS</u> day of <u>July</u> , 2006 at Foll Luys 117
ORGANIZATION OR ADDRESS	iSignature of Person Administering Oath) Tryped Name of Person Administering Oath)
ORGANIZATION OR ADDRESS	[Authority To Administer Oaths]
INITIALS OF PERSON MAKING STATEMENT	PAGE 2 OF 2 PAGES

PAGE 3, DA FORM 2823, DEC 1998



References:

- a. (U) USCENTCOM GENADMIN, 121917Z MAR 03, OIF ROE Serial One ISO Military Operations Against Iraq.
- b. (U) CJCSI 3121.01B, Standing Rules Of Engagement (SROE), 11 NOV 05.
- c. (U) USCINCCENT 081600ZNOV95 USCENTCOM Annex To Ref B (CJCSJ 3121.01A) USCENTCOM Theater-Specific ROE.
- d. (U) CJCSM 3160.01A, Joint Methodology for Estimating Collateral Damage, 30 Dec 05.
- e. (U) USCENTCOM GENADMIN 241908Z JAN 04, SUBJECT: Additional Targeting Guidance for Declared Hostile Forces in Iraq.
- f. (U) USCENTCOM MEMORANDUM, Response to Request for ROE Additional Targeting Guidance (Iraq), 23 FEB 04.
- g. (U) MSG/CDR USCENTCOM/172012ZMAR03//Paramilitary Forces.
- h. (U) MSG/CDR USCENTCOM/221726ZMAR03//AMPN/ CFC FRAGO 09-021 SROE for Iraqi Property.
- i. (U) MSG/CDR USCENTCOM/130918ZAPR03//AMPN/CFC FRAGO 09-111 Designating Personnel and Iraqi Property for Protection.
- j. (U) EXECUTIVE ORDER 11850.
- k. (U) ANNEX E (ROE) TO V (US) CORPS 1003V IV CORPS ROEJ, 12 MAR 03.
- m. (U) TRAGO 329M [Transition to Stability Operations in Baghdad Secured Zones] to OPORD 0303-343.
- n. (U) FRAGO 400M [Restoration of Order and Areas Under Effective Control of Coalition Forces] to OPORD FINAL VICTORY.
- (U) FRAGO 198M [Maintenance of Law and Order in Areas Under Effective Control of Coalition Forces] to OPORD FINAL VICTORY.
- p. (U) FRAGO 290 [Border Interdiction Operations] to CJTF-7 OPORD 03-036.
- q. (U) FRAGO 767 [Border Operations] to CJTF-7 OPORD 03-036.
- r. (U) FRAGO 865 [ROE Clarification] to CJTF-7 OPORD 03-036.
- t. (U) FRAGO 930 [Rules or Engagement] to CJTF-7 OPORD 03-036.
- u. (U) FRAGO 1017 [26 OCT03 DTU] to CJTF-7 OPORD 03-036.
- v. (U) FRAGO 1150 [Use of Lethal and Non-Lethal Forces in Coalition Detention Facilities] to CJTF-7 OPORD 03-036.
- w. (U) FRAGO 1154 [Targeting Structures: Approval Authorities Under OPLAN 1003V ROE] to CJTF-7 OPORD 03-036.
- x. (U) FRAGO 1281 [MOD TO FRAGO 1154 Targeting Structures] to CJTF-7 OPORD 03-036.
- y. (U) FRAGO 200 [ROE Clarification] to CJTF-7 OPORD 04-01.
- z. (U) FRAGO 507 MOD 1 TO FRAGO 200 [ROE Clarification for Convoys and Tactical Control Points (TCP)] to CJTF-7 OPORD 04-01.
- aa. (U) FRAGO 546 [Additional Targeting Guidance for Attacking Facilities Associated with Declared Hostile Forces in Iraq] to CJTF-7 OPORD 04-01.
- cc. (U) FRAGO 587 [RESOLUTE SWORD] to CJTF-7 OPORD 04-01.



dd. (U) FRAGO 591 [07APR04 DTU] to CJTF-7 OPORD 04-01.

ff. (U) FRAGO 684 MOD 1 10 FRAGO 546 [Additional Targeting Guidance for Attacking Facilities Associated with Declared Hostile Forces in Iraq] to CJTF-7 OPORD 04-01.

gg. (U) FRAGO 119 IOPERATION LION'S PARTNERSHIPI to MNC-LOPORD 04-01.

ii. (U) FRAGO 027 [Warning Shots to Clear Traffic Congestion] to MNC-I OPORD 05-01.

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jj. (U) MNF-I FRAGO 599 [MNF-I Targeting Guidance].

II. (U) APPENDIX 5 TO ANNEX C TO MNF-I OPORD [Rules of Engagement for US Forces], 15 FEB 05.

mm. (U) APPENDIX 4 TO ANNEX C TO MNC-I OPERATIONS ORDER 05-02 [Rules of Engagement for US Forces], 1 APR 05

nn. (U) APPENDIX 4 TO ANNEX C TO MNC-I OPORD 05-02 [Rules of Engagement for US Forces], 27 JUL 05

oc. (U) FRAGO 505 to MND-B OPORD 05-012 APPENDIX 1 [Consolidated ROE] to ANNEX E [RULES OF ENGAGEMENT], 3 OCT 05

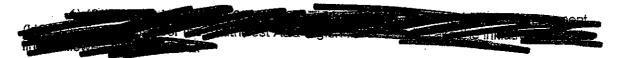
gg. (U) FRAGO 89 Trangeting and CDE Guidance For Indirect Fires] to MND-B OPORD 05-07.



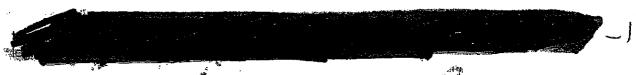
1. (U) SITUATION. This Annex represents the consolidation of all ROE Serials, FRAGOs, and directives pertinent to Operation Iraqi Freedom. This information is critical to commanders and staff sections within MND-B. The Rules of Engagement will inform leaders and soldiers of their authorities and responsibilities and must be incorporated into every training scenario. These Rules of Engagement apply to MND-B military operations within the international boundaries of Iraq and to those Iraqi Army units TACON to MND-B until an Iraqi ROE is developed.

2. (U) MISSION. No change.

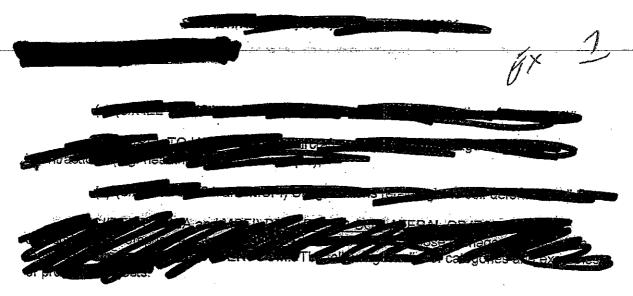
- 3. (U) EXECUTION. Change.
 - a. (U) GENERAL GUIDANCE



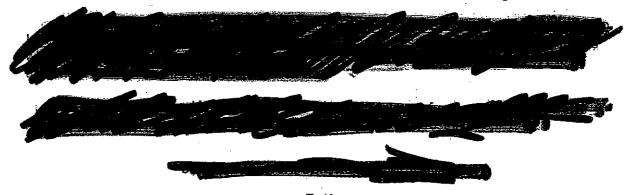
- 2) (U) MILITARY POLICY. Commanders have the inherent authority and obligation to use all necessary means available and to take all appropriate action in self-defense of their units and other US Forces and Coalition Forces and other designated protected persons and forces as defined in para. 3.g.4.
- 3) (U) At all times, the requirements of necessity and proportionality will form the basis of the judgment of the on-scene commander (OSC) or individual as to what constitutes an appropriate response in self-deferse to a particular hostile actor demonstration or nostile intent.
- 4) (U) All personnel must ensure that, prior to any engagement, non-combatants and civilian structures are distinguished from proper military targets.



- 6) (U) Military operations will be conducted, in so far as possible, to ensure that incidental injury to civilians and collateral damage to civilian objects are minimized. Strikes on infrastructure, lines of communication and economic objects should, to the extent possible, disable and disrupt rather than destroy.
- 7) (U) Civilian structures, especially cultural and historic buildings, nonmilitary structures, civilian population centers, mosques and other religious places, hospitals and facilities displaying the red crescent or red cross, are protected structures and will not be attacked except when they are being used for military purposes. Targeting structures will be conducted in accordance with these ROE and the CDEM (Ref. D). US Forces will not utilize these protected structures for military purposes.
- 8) (U) The use of force to accomplish authorized missions will be necessary and proportional in order to comply with the law of armed conflict (LOAC). Proportional force is force that is reasonable in intensity, duration, and magnitude.
- 9) (U) These ROE give commanders the maximum flexibility to use the amount of force required to accomplish the mission. They represent all authority to use force that has been authorized by Commander MNF-I, CDRUSCENTCOM, and SECDEF. A commander must consider the assigned mission, the current situation, higher commander's intent and all other available guidance in determining the level of force required for mission accomplishment. The level of force employed should not exceed the force required to accomplish the assigned mission.



- (U) Religious, cultural, and historical institutions, structures, and terrain.
 Examples include mosques, churches, monuments, cemeteries, museums, libraries, and internationally recognized historical/cultural sites.
- (U) Diplomatic offices, foreign missions, and sovereign nonmilitary property of other nations within the area of operations. Examples include embassies, consulates, ambassadorial residences, and their maritime assets.
- (U) Nongovernmental Organizations (NGO) (such as International Red Cross/Crescent and United Nations relief organizations) property, equipment, and personnel.
- (U) Prisoner of war (POW) camps and concentrations and government detention facilities/prisons.
- (U) Medical facilities (both civilian and military) including hospitals, clinics, field hospitals, and medical training facilities.
- (U) Public education facilities including nonmilitary schools, colleges, universities, and institutes.
- (U) Civilian refugee camps and concentrations.
- (U) Facilities whose engagement may result in pollution that cannot be contained, to include contamination of standing water, streams, and rivers.
- (U) Dams or dikes whose engagement may result in the flooding of civilian areas.

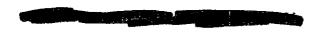




i. (U) **CANCELLED MEASURES**. All previously issued MND-B FRAGOs regarding Operation Iraqi Freedom ROE are hereby rescinded.

j. (U) ADMINISTRATION AND LOGISTICS.

- 1) (U) All commanders will ensure their personnel are trained and familiar with the Law of Armed Conflict and with these ROE.
- 2) (U) If operationally required, subordinate commanders will promulgate additional ROE and/or amplified ROE guidance applicable to forces under their command and will submit them to CDRUSCENTCOM for review and/or approval via guidance contained in Ref B. Commanders will be instructed to ensure that modified or supplemental ROE:
 - (a) (U) Remain consistent with the intent of these ROE.
 - (b) (U) Result in more definitive guidance to subordinate commanders.
 - (c) (U) Do not impair the commander's inherent right of self-defense.
- 4. (U) SERVICE SUPPORT. No change.







- You may engage an individual if you are reasonably certain (PID) they committed/are committing a hostile act
- Hostile Act: an attack or use of force against US Forces, CF, ISF, US citizens, Iraqi civilians, and other designated persons and property
- It is also force used directly to preclude or impede the mission and/or duties of US Forces
- Proportional response requires that you only use deadly force if you are threatened with death or serious bodily
- can engage someone running away after a hostile act or display of hostile intent Can engage someone who has committed a hostile act unless they surrender and no longer pose a threat...you

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If time and circumstances permit, use graduated response measures:

(1) SHOUT and/or SIGNAL Warnings

SHOW Weapon and Demonstrate Intent to Use It

SHOVE or Detain (Block Movement for Vehicles) SHOOT a Non-Lethal Round, if available

SHOOT Warning Shot

SHOOT Disabling Shots (only at vehicles)

) SHOOT to Kill

DO NOT HAVE TO GO THROUGH EACH STEP IF THE SITUATION DOES NOT SAFELY ALLOW!

Disabling shots are only for vehicles. Shoot people with intent to kill, not with intent to disable.

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506th RCT Serious Incident Report

From: Commander, 506 RCT

To: 4th ID / Division Operation Center

Subject: SIR, EOF, 1 x LN KIA

1. Category: 3

2. Type of incident: 1 x LN KIA

3. DTG: 102321JUL06

A. DTG of Incident: 102245JUL06

B. DTG Unit was notified of Incident: 102321JUL06

C. DTG received by DOC:

4. Location:

5. Personnel involved: 1

A. Subject: 4

1. Rank or grade: SPC

2. Social Security Number:

3. Race: White

4. Sex: Male

5. Age: 24

6. Position: QRF riflemen

7. Security clearance: Secret

8. Unit and station of assignment: HHB/4-320th FA

9. Duty status: Active

10. Marital Status: Single

B. Summary of incident: On 102330JUL06 HHB was conducting a hasty cordon and search of a house in which a suspected kidnapping victim was located. During execution of this operation, B TM from Palehorse was assaulting OBJ B1 when 3 x LN ran out of the target house, one LN attempted to grab the weapon of a QRF soldier. The Soldier pushed the LN away and told him to stop. The LN continued to run from the CF and SPC Innes fired 1 x 5.56mm warning shot. The LN continued to flee the scene and another member of the QRF fired 1 x 40mm (Non-lethal M203) round into the LN's lower abdomen. The LN continued to run and made his way into a dark alley where a large bus was parked. So ordered the LN to stop moving and visually identified something metallic in the LN's hand. So once again ordered the LN to stop when the LN raised his hand with the metallic object, the felt imminent danger and fired 1 x 5.56mm into the LN's chest. Contact was called to the CDR and a medic was immediately dispatched to the scene to treat the LN for injuries.

6

Exhibit 10

506 RCT Serious Incident Report

Once the medic arrived, the area was searched for a weapon and only a metallic cell phone was found on the ground near the LN's hand. The medic continued to administer first-aid when at 102245JUL06 the LN was pronounced dead. The Ma'adain IP's will take possession of the body and the HHB CDR on the ground is attempting to locate family in the area.

- 6. Other Information: None
 - A. Racial Involvement: None
 - B. Alcohol Involvement: None
 - C. Last Deployment to OIF/OEF (include start and end dates): NONE
- 7. Remarks: This SIR was generated from the initial Ground Commander's SPOT Report. Upon completion of the mission 4-320 FA will take sworn statements from all personnel involved. 506 RCT JAG will assist in a Legal review. A 15-6 investigation will be conducted. A CERP condolence payment will be made to the NOK as soon as the NOK can be located.

8. Commander reporting:

COL, IN, CDR 506 RCT

A. BTRY Commander, HHB\4-320th FA

10.Report originated by:

FA, AS3, HQ/4-320th FA

N, 506 RCT BTL 673-1130

12. Unit Notifications:

9. Point of contact:

A. <u>Telephone</u>

Position

Name

Time

Instructions

B. Email

Position

Name

Time

Instructions

FOD / DOC NCO ONLY:

Current FOD & DOC NCOIC:

FOD / DOC NCO Actions:

FOD Notifications:

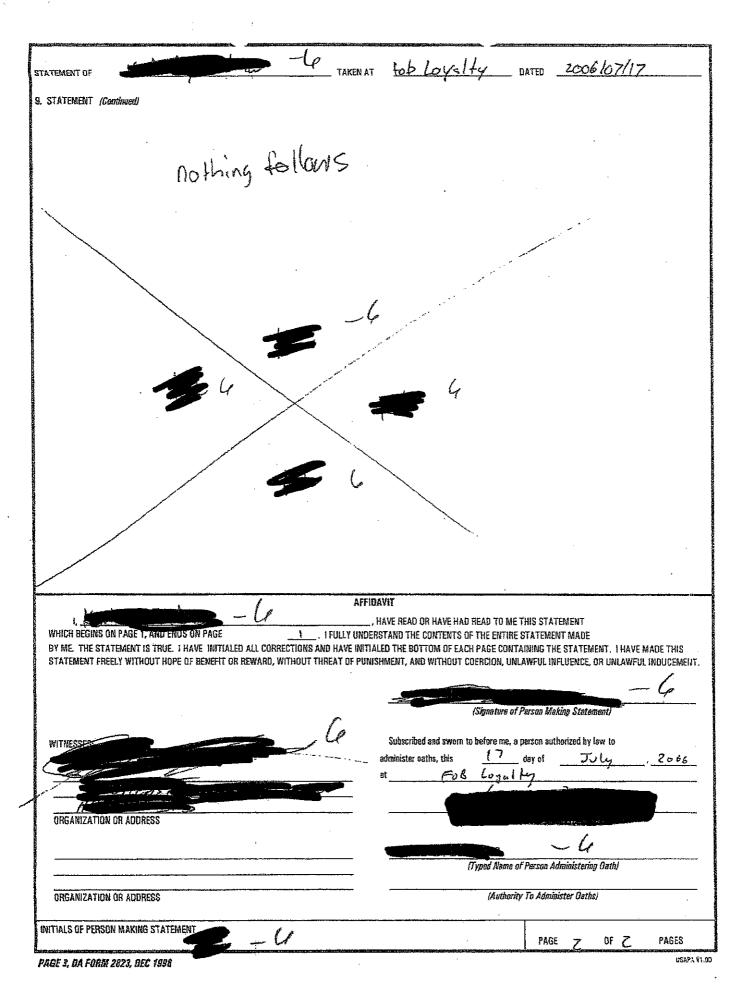
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506 RCT Serious Incident Report

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yes I saw	N grab and tug on who have hope hall I some			as the	
hN and					·
I didn't affor he s hostile into weapon.	know exactly why hadrod running my lent and planned on	perception was	theel he has	ucapon I had a diffi	bat mat
3. Do you have any	additional information you would	d like to add to your swor	n statement?		
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IO. EXHIBIT		11. INITIALS OF PERSON MA	AKING STATEMENT	PAGE 1 OF	2_ PAGES
	ONTAIN THE HEADING "STATEMENT OF ITIONAL PAGE MUST BEAR THE INITIALS OF TH	TAKEN . TAKEN . THE PERSON MAKING THE STATEMEN.		BE BE INDICATES).

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STATEMENT OF		TAKEN AT	<u> </u>	DATED 1704LO	6
9. STATEMENT (Continued)	Ì		•		17.00 m
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WHICH BEGINS ON PAGE 1, AND ENDS O	N PAGE	, HAVE READ OF FULLY UNDERSTAND THE C	R HAVE HAD READ TO ME T		. :
BY ME. THE STATEMENT IS TRUE, I HA	VE INITIALED ALL CORRECTIONS AN	O HAVE INITIALED THE BOTT	OM OF EACH PAGE CONTA	INING THE STATEMENT 1 F	IAVE MADE THIS .
STATEMENT FREELY WITHOUT HOPE OF	BENEFIT OR REWARD, WITHOUT THE	REAT OF PUNISHMENT, AND	WITHOUT COERCION, UNL	AWFUL INFLUENCE, OR UNLA	WFUL INDUCEMENT.
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		•	(Signature of P	erson Making Statement)	
WITNESSES:	•	Subscribed	and swom to before me, a p	erson authorized by law to	
	· ·	administer oat		day of July	, zec b
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ORGANIZATION OR ADDRESS			ISinnaturo de D	erson Administering (Dath)	
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		***************************************	(Typed Name of	Person Administering Oath)	
ODC ANITATION OF ADDRESS			/At. V-	T- 44-514-6-5	
ORGANIZATION OR ADDRESS	1		(Authority	To Administer Daths)	
INITIALS OF PERSON MAKING STATEMENT					
				PAGE 2 OF	2 PAGES

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