Pages 1 through 20 redacted for the following reasons:
(b)(1)1.4a and c, (b)(2)High, (b)(3) and (b)(6)
(b)(1)1.4a and c, (b)(3) and (b)(6)
(b)(2) High
(b)(2)High
(b)(2)High

Four pages removed for the following reason:(b)(2) High



1. On 24 October 2006 I was appointed as the AR15-6 Investigating Officer (IO) into the circumstances surrounding the death of a local Afghan girl and the serious injury to two others apparently resulting from the 60 mm mortar fire from Charlie Company, 1st Battalion 32nd Infantry near the village of Metinge. Pech River Road, Afghanistan, on 23 October 2006.
2. INVESTIGATION OVFRVIEW. At approximately 1520 local on 23 October 2006 (b)(2)Highreturned to Patrol Base California on the Pech River Road. The Platoon had just returned from a mission. The Platoon had the company 60 mm mortar section with them as part of there task organization. The Platoon leader realized the mortar section had never occupied his patrol base. Recent intelligence reporting had indicated the enemy was planning to conduct a large coordinated attack on the Pech River Road against the patrol base. The Platoon leader determined the mortars needed to conduct fires to prepare his defensive indirect fires for a possible attack that night. The mortar section conducted handheld fire on a known enemy point of origin (POO) site that the enemy attacked this patrol base from less than 24 hours prior. The mortar section fired four rounds that landed on target and fired a fifth one that landed short of a village. A short time later a local family came to the patrol base with three injured girls (estimated age is ) ( (yrs of age). Two girls sustained wounds and one girl was dead. The platoon medic began treatment and triage immediately. At 1541 local the unit called for an immediate medevac for the girls. At 1611 local the medevac was wheels down at patrol base California. At 1619 local the medevac was wheels up emroute to ABAD. At 1625 local the medevac was wheels dow at ABAD. The girls and two elders were at ABAD FST. One girl underwent surgery and the other girl was treated for minor wounds. Immediately the command started a commanders inquiry into the incident. The command informed the local district and provincial governor of the incident. The command immediately drafted a public service message in accordance with the ABAD PRT and Afghan government. The command also prepared an emergency PR\&C for Solatia payment to the family.

## 3. FINDINGS.

a. CPT (3), (b) is the commander for C Co 1st Battalion, 32nd Infantry located at Patrol Base Chicago (Combat Main) on the Pech River Road. On 23 October 2006 at roughly 1700 (L) (b) (2)High called the Combat Main on the FM net to request permission to conduct a test fire with the 60 mm mortar. At that time CPT (3), (b) was outside the Tactical Operating Center (TOC) (TAB A). SSG3), (b stated he cleared the battlespace and informed CPT) (3), (b) (after the mortar had begun to fire (TAB D), CPT (3), (b) then returned to the TOC. (b)(2)High then called on the FM net and reported that a round had landed short. At this point CPT (3), (b) instructed the platoon leader to shut the system down and take all precautions to safeguard the point of origin (POO) of the mortar. CPT$)(3)$, (b) also states that there is no company SOP for clearance of fires and that there is only a Battalion SOP for mortars and indirect fires. There is no company level SOP.
b. 1LT3), (bis the Platoon leader for C Co 1st Battalion, 32nd Infantry located at Patrol Base California on the Pech River Road. On 23 October 2006 at approximately 1515 local 11.T3), (b requested pernission from CPT$)(3)$, (b) (C CO CDR) to conduct a harassment and interdiction fire mission targeting known enemy fighting positions in order to prevent enemy movement to these fighting positions (TABE). This contradicts the statement made by the commander when he stated that at roughly 1700 local, nearly 2 hours after the incident occurred that (b)(2)Highcontacted him to request permission to conduct a test fire. After clearance was granted 1LT3), ( $b$ and $\operatorname{SSG}(P / 3$ ), ( $b$ the platoon sergeant pointed to and described targets to be engaged using the 60 mm mortar in the direct lay mode (TAB F). A total of five targets were to be fired along the ridgeline from cast to west. $\operatorname{SSG}(3)$, (b)the mortar section then prepared 5 rounds all on charge 1 . The first four rounds were fired from east to west successfully. The fifth and final round was fired at an estimated range of 1400 m and approximately 3710 mils in azimuth which is directly over the village of Metinge just across the Pech River. SSG(3), (b)confirmed the reading of 1400 m on the range scale of the 60 mm mortar tube and rechecked the heading of the mortar tube several times as he had done several times on the previous four targets. $\operatorname{SSG}(3)$, (b)then hung the round in the tube (TAB G). After the detonation of the charge in the mortar tube and the explosion of the round $\operatorname{SSG}(3)$, (b) ILT3), (band $\operatorname{SSG}(\mathrm{P}) 3)$, ( (all indicated verbally to each other that the round impacted about $700-800 \mathrm{~m}$ short of its intended target and hit just behind a populated area. After the round was observed to hit in an unsafe location SSG(3), (b)placed the mortar tube against the nearest HMMWV, which was approx 1 meter away from the firing point. Neither the tube nor the ammo was then touched by anyone until the following day as stated by 1LT 3), (bILT3), (balso states that the rounds that were fired had been taken on 4 of the last 72 hour mounted and dismounted movements and village occupation missions which had ended earlier that day 23 October 2006. He also states that the mortar tube and ammo had not been used during that time and the ammo was carried in the original sealed cardboard transport tube. 1LT3 3), (b)also states that he did not verify the range reading on the mortar tube for any of the rounds fired.
[sec continuation page]

## SECTION V - RECOMMENDATIONS (para 3-11, AR 15-6)

In view of the above findings, the (investigating officer) (board) recommends:

1. I recommend that no one be held liable for the actions that occurred on 23 October 2006 involving the 60 mm mortar round being fired short of its intended target. I recommend that the company adopt an SOP that covers the use of indirect fires assets even if the mortar is to be used in the handheld mode. 1 also recommend that test fires should not be used over populated areas -- these historical enemy positions should only be fired during enemy contact to reduce the risk of incidental collateral damage. Also, historical enemy positions should $b$ a plotted on a map with accurate range and azimuths identified.
2. 1 recommend that immediately notification be given to the Infantry units to physically inspect all 60 mm mortar ammunition for the identified lot (b)(2)High that has a condition code2)ffor suspension due to possible leakage of the propellant. If ammunition is found to have this lot number, the unit needs to notify higher headquarters immediately and begin procedures for turn-in.
3. 1 recommend that SSG 3 ), ( $b$ not be held liable for the actions that occurred on 23 October 2006. SSG 3 ), ( $b$ did everything he could to mitigate the the mortar from being fired short of its intended target. SSG 3), (b) made all necessary checks prior to allowing the gunner to fire the mortar. There was no indication of negligence.
4. I recommend that PFC ) (3), (b) (thot be held liable for the actions that occurred on 23 October 2006. PFC ) (3), (b)(followed all procedures correctly when firing the mortar. There was no indication of negligence on his part.
5. The unit should pay the family for the accidental death and injurys of the girls - the money is for the three children. The payment should be made in good faith for the children and as a demonstration of good will to the community. The children were accidentally injured by the platoon leader (LT3), (b), the Mortar Section Sgt (SSG(3), (b), and the Gunner ( PFC$)$ )(3), (b) ( The Soldiers legitimately engaged a target that had been identified as a recent enemy fighting position.

SL ON VI-AUTHENTICATION (para 3-17, AR 15-6)
THIS REPORT OF FROCEEDINGS IS COMPLETE AND ACCURATE. (If any voting member or the recorder fails to sign here or in Section VII below, indicate the reason in the space where his signature should appear.)
(b)(3), (b)(6)

| (Recorder) |  | (Investigating Officer) (President) |
| :--- | :--- | :--- |
| (Member) |  | (Member) |
| (Member) |  |  |

SECTION VII - MINORITY REPORT (para 3-13, AR 15-6)
To the extent indicated in Inclosure $\quad$, the undersigned do(es) not concur in the findings and recommendations of the board.
(In the inclosure, identify by number each finding and/or recommendation in which the dissenting member(s) do(es) not concur. State the
reasons for disagreement. Additional/substitute findings and/or recommendations may be included in the inclosure.) reasons for disagreement. Additional/substitute findings and/or recommendations may be included in the inclosure.)

SECTION VIII - ACTION BY APPOINTING AUTHORITY (para 2-3, AR 15-6)
The findings and recommendations of the (investigating officer) (board) are (approved) (disapproved) (approved wisth following-exeeptions/ substitutions). (If the appointing authority returns the proceedings to the investigating officer or board for further proceedings or corrective action, attach that correspondence (or a summary, if oral) as a numbered inclosure.)

## Solatia Payments:

(b)(6)
.) for death of LN for injury to LN for injury to LN

Payments made on 1 Nov 2006

DA FORM 1574, Section IV (Findings), continued:
c. $\operatorname{SSG}(3),(\mathrm{b})$ and PFC$)(3),(\mathrm{b})(1$ were identified in the serious incident report as being the two soldiers involved in the mortar incident. SSG'3), (b) is the 60 mm Mortar section SGT and $\operatorname{PFC}(3)$, (b) is the gunner for the section. PFC) (3), (b) (states that after the fifth and final round was fired that it was noticed to be weak when it went out of the tube he also states that they slood and observed the round land short on the back side of a house in the village and immediately ceased firing (TAB H). SSG'3), (b)states that the have certain procedures that are followed when firing in the handheld mode but that there is no written down SOP. He also states that the mortar tube was checked by the Battalion Armorer. The Battalion Armorer (SPC (3), (b) conducted a borescope and pullover to check the condition of the tube on 23 Sept 2006 , SN:) (2)Hig and was indicated to be serviceable. $\operatorname{SSG}(3),(b)$ also states that other than that there had been no PMCS done to the tube. The aim points were never plotted on a map prior to shooting the rounds according to $\operatorname{SSG}(3)$, (b) $\operatorname{SSG}(3)$, (b)also stated that $99 \%$ of the time they conduct mortar firing on the Pech River in the handheld mode.

## d.

(b)(6) Ammunition LAR at Bahgram, stated to me over the phone that in an email sent to JLC that there have been 4 Lots identified that wir fired during the incident (TAB I). They were DODAC B642 (3) and B643 (1). They can not account for the 5th lot. Out of the 4 lots, there is one lot that has been given a condition code E , which is a suspension of use due to possible leakage of the propellant. Mr $\quad$ (b) (6) records indicate that the last time this lot number was issued was to the 3 rd Marines in 2005. The identified lot\# is HAW-91A-001-001. According to $\mathrm{Mr} \quad(\mathrm{b})(6)$ the 3 other lots have not been identified as being bad. Below is a list of the 4 lots used in the firing incident.

| DODAC B642: | Lot MA-84A-027-006 |
| :--- | :--- |
|  | Lot HAW-91A-001-001 (Condition Code E) |
|  | Lot HAW-89H-001-005 |
| DODAC B643 | Lot MA-00J-060-005 |

## Exhibit list

TAB A: Investigation Appointment Orders
TAB B: DA FORM 1574
$T A B C: C P T)(3),(b)($ Stıtement
TAB I): SSG)(3), (b) (Statement
TAB E: 1LT 3), (bStatement
TAB F: SSG (P)3), (bStatement
TAB G: SSG ${ }^{\prime} 3$ ), (b)Statement
TAB H: PFC) (3), (b)(EStatement TAB I: Conversation Record with
(b) (6) AMMO LAR, BAF

TAB J: ITT (3), (b) Statement
TAB K: SF(1) (3), (b) (Eta : ement
TAB L.: SSG।(3), (b) (Statement
TAB M: SGT )(3), (b)(Statemen
TAB N: SSG ${ }^{\prime} 3$ ), (b)Statement
TAB (): Investigation Photos with MAJb)(3), (b)(6Statemen

MEMORANDUM FOR CW3 (b)(3), (b)(6) Headquarters and Headquarters Company, $3^{\text {rd }}$ Infantry Brigade Combat Ieam, Forward Operating Base Salerno, APO AE $0 \subset 354$

## SUBJECT: Appointment of Investigating Officer - Noncombatant Death

1. You are hereby appointed as investigating officer pursuant to Army Regulation (AR) $15-6$ to conduct an informal investigation into the death of a local Afghan girl and the serious injury to two others apparently resulting from the 60 mm mortar fire near the Pech River Road, Afghanistan, on 23 October 2006.
2. Statements should be sworn if possible, using DA Form 2823 or Dari/Pashto equivalents. Your authority to administer oaths is the Uniform Code of Military Justice, Article 136(b)(4). If in the course of your investigation you come to suspect that an individual may have committed a violation of the Uniform Code of Military Justice or federal law, you must advise them of their rights under the Uniform Code of Military Justice, Article 31 (b), or the Fifth Amendment as appropriate. Use DA Form 3881 for this purpose. Additionally, you may have to provide certain witnesses with Privacy Act statements before soliciting personal information. If you suspect misconduct by someone who outranks you, stop your investigation and seek guidance. Your legal advisor can assist you with these matters.
3. Your investigation should include interviews of all relevant parties that have information about the incident. Your investigation should also include interviews of all relevant parties that have information about responsibility for the registration fires, the adequacy of any warnings or controls, and the safety of local nationals. Your findings must be supported by a preponderance of the evidence, and your recommendations must be legally consistent with the findings. Submit your findings and recommendations on a DA Form 1574. Your recommendations should include actions to be taken to correct deficiencies, to compensate victims, or to address any misconduct.
4. Prior to beainning your investiaation, you will contact the TF Spartan CJA, MAJ (b)(3), (b)(6) at DSN (b)(6) for an initial briefing and to obtain a guidance packet. Additional forms are at
5. This investigation constitutes your primary duty until completed. It will take precedence over all other duties. Submit your report to the appointing authority within ten (10) days.

COL, IN
Commanding

Pages 27 through 30 redacted for the following reasons:
(b)(1)1.4a and c, (b)(2) High, (b)(3) and (b)(6)
(b)(1)1.4a and c, (b)(2) High, (b)(3) and (b)(6) (b)(5)







USE THIS PAGE IF NEEDED. ir THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.
STATEMENT OF _ (b)(3), (b)(6) TAKENAT <ick
9. STATEMENT (Continued)



1. WARNING - Inform the suspect/accused of:
a. Your official position.
b. Nature of offense(s).
c. The fact that he/she is a suspect/accused.
2. RIGHTS - Advise the suspect'accused of his/her rights as follows: "Before I ask you any questions, you must understand your rights."
a. "You do not have to answer my questions or say anything."
b. "Anything you say or do can be used as evidence against you in a criminal trial."
c. (For personnel subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer
can be a civilian you arrange for at no expense to the Government or a military lawyer detailed for you at no expense to you, or both."

- or -
(For civilians not subject to the UCMJ) You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be one you arrange for at your own expense, or if you cannot afford a lawyer and want one, a lawyer will be appointed for you before any questioning begins."
d. "If you are now willing to discuss the offense(s) under investigation, with or without a lawyer present, you have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if you sign a waiver certificate."
Make certain the suspect/accused fully understands his/her rights.


## THE WAIVER

"Do you understand your rights?"
(If the suspect/accused says "no," determine what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/accused says "yes," ask the following question.)
"Have you ever requested a lawyer after being read your rights?" (If the suspect/accused says "yes," find out when and where. If the request was recent (i.e., fewer than 30 days ago), obtain legal advice whether to continue the interrogation. If the suspect/accused says "no," or if the prior request was not recent, ask him/her the following question.)
"Do you want a lawyer at this time?"
(If the suspect/accused says "yes," stop the questioning until he/she has a lawyer. If the suspect/accused says "no," ask him/her the following question.)
"At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/accused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the other side of this form. If the suspect/accused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.)

WHEN SUSPECT/ACCUSED REFUSES TO SIGN WAIVER CERTIFICATE: If the suspect/accused orally waives his/her rights but refuses to sign the waiver certificate, you may proceed with the questioning. Make notations on the waiver certificate to the effect that he/she has stated that he/she understands his/her rights, does not want a lawyer, wants to discuss the offense(s) under investigation, and refuses to sign the waiver certificate.

IF WAIVER CERTIFICATE CANNOT BE COMPLETED IMMEDIATELY: In all cases the waiver certificate must be completed as soon as possible. Every effort should be made to complete the waiver certificate before any questioning begins. If the waiver certificate cannot be completed at once, as in the case of street interrogation, completion may be temporarily postponed. Notes should be kept on the circumstances.

## PRIOR INCRIMINATING STATEMENTS:

1. If the supsect/accused has made spontaneous incriminating statements before being properly advised of his/her rights he/she should be told that such statements do not obligate him/her to answer further questions.
2. If the suspect/accused was questioned as such either without being advised of his/her rights or some question exists as to the propriety of the first statement, the accused must be so advised. The office of the serving Staff Judge Advocate should be contacted for assistance in drafting the proper rights advisal.

NOTE: If 1 or 2 applies, the fact that the suspect/accused was advised accordingly should be noted in the comment section on the waiver certificate and initialed by the suspect/accused.

WHEN SUSPECT/ACCUSED DISPLAYS INDECISION ON EXERCISING HIS OR HER RIGHTS DURING THE INTERROGATION PROCESS: If during the interrogation, the suspect displays indecision about requesting counsel (for example, "Maybe I should get a lawyer."), further questioning must cease immediately. At that point, you may question the suspect/accused only concerning whether he or she desires to waive counsel. The questioning may not be utilized to discourage a suspect/accused from exercising his/her rights. (For example, do not make such comments as "lf you didn't do anything wrong, you shouldn't need an attorney.")

COMMENTS (Continued)


## 9. STATEMENT (Continued)

ROUND IMPACTED. AFTER TUE ROUND WAS OBSERVED IN AN UNSAFE LOCATION, SSC (b)(3), (b)(6) PLALED TLE MORTAR TMBE AUAINST TUE NEAREST HMmWV, which wAT APPROX. I METER AWAY FROM TUE FRRINGPOINT. AFTER THAT, FIN, (B)(1OBE NIETLEV THE TUBE NOR THE REMAIVIVGRELMDS WERE TOUCHED BU ANYONE UNTL THE FOLLOWING AFTERNOON

TIE ROUNDS THAT WERE FRED WERE ROUNDS THAT WERE TAKEN ON $4 T 2$ HOLT MOUNTED AND DISMOMSTED MOVEMENT AND VILlAGE OCMPATIUN MISSION WLICIE ENDED EARLIER THAT DAY ( 23 OM T OC). THE MORTAR TUBE WAS NOT USED DURING THAT MISSION. TUE ROADS WERE CARRIED OW TUT MISSION IN TUE ORIGINAL SEALED CQROSOARD TRANSFIORY TUBE

1 WAS STANDING APPROX. I NETER ANAY FROM SSC (b)(3), (b)(6) AND THE MORTAR TUBE AND GUNNER AS AU ROUNDS WERE FIRM I WAS STANDING TO TVER RoUT. I DO NOT VISWAMM CONTVRNED TUE RANGE REATIVG UV TIE RANGE SCALE OF THE MORTAR TUBE, BUT DID OBSERVE THE TUBE AT AN APPCOXIMATE SO-GO DECREE ANGLE. NOTUING FoLlows

(b)(3), (b)(6)
(b)(3), (b)(6)


1, . (b)(3), (b)(6)

## AFFIDAVIT

WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE $\qquad$ 1 FULLY UNDERSTAND THE CONTENTS OE THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS ANE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITH THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE
(b)(3), (b)(6)

WITNESSES:
$\qquad$

ORGANIZATION OR ADDRESS
$\qquad$
ORGANIZATION OR ADDRESS
MAKING STATEMENT
Subscribed and sworn to derore me, a person authorized by law to administer oaths, this $\qquad$ day of $\qquad$
$\qquad$ Lc ct.
at in?
-
-
(b)(3), (b)(6)

(b)(3), (b)(6)

PAGE 3, DA FORM 2823, DEC 1998


## DATA REQUIRED BY THE PRIVACY ACT

| AUTHORITY: | Title 10, United States Code, Section $3012(\mathrm{~g})$ |
| :--- | :--- |
| PRINCIPAL PURPOSE: | To provide commanders and law enforcement officials with means by which information may be accurately identified. |
| ROUTINE USES: | Your Social Security Number is used as an additional/alternate means of identification to facilitate filing and retrieval. |
| DISCLOSURE: | Disclosure of your Social Security Number is voluntary. |


| 1. LOCATION $\because$ PB CALIFURNAA | (b)(2)High | 2. DATE   <br> 27 OLIME   <br>  OLT  <br> 1654   | 4. FILE NO. |
| :---: | :---: | :---: | :---: |
| 5. $\begin{aligned} & \text { MIAME I I ant Eint AAI } \\ &(\mathrm{b})(3),(\mathrm{b})(6) \end{aligned}$ |  | 8. ORGANIZATION OR ADDRESS C Ce 1-32 Fi̛s 4540A BAi APOAE OC354 |  |
| $\begin{array}{ll}\text { 6. } & \text { SSN } \\ & \\ & \text { (b)(6) }\end{array}$ | 7. GRADEISTATUS |  |  |

PART I-RIGHTS WAIVER/NON-WAIVER CERTIFICATE

## Section A. Rights

```
The investigator whose name appears below told me that he/she is with the United States Army
```

suspected/accused:
Before he/she asked me any questions about the offense(s), however, he/she made it clear to me that I have the following rights
I do not have to answer any question or say anything.
Anything I say or do can be used as evidence against me in a criminal trial
(3), (b)
(For personnel suiject to the UCMJ) I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. This lawyer can be a civilian lawyer I arrange for at no expense to the Government or a military lawyer detailed for me at no expense to me, or both.

- or -
(For civilians not subject to the UCMJ) I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. I understand that this lawyer can be one that I arrange for at my own expense, or if I cannot afford a lawyer and want one, a lawyer till be appointed for me before any questioning begins.
b)(3), (b) $6^{1}$ am now willing to discuss the offense(s) under investigation, with or without a lawyer present, I have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if I sign the waiver below.


1. WARNING - Inform the suspect/accused of:
a. Your official position.
b. Nature of offense(s).
c. The fact that he/she is a suspect/accused.
2. RIGHTS - Advise the suspect/accused of his/her rights as follows: "Before I ask you any questions, you must understand your rights." a. "You do not have to answer my questions or say anything."
b. "Anything yous say or do can be used as evidence against you in a criminal trial."
c. (For personnel subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer
can be a civilian you arrange for at no expense to the Government or a military lawyer detailed for you at no expense to you, or both."

- or -
(For civilians not subject to the UCMJ) You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be one you arrange for at your own expense, or if you cannot afford a lawyer and want one, a lawyer will be appointed for you before any questioning begins."
d. "If you are now willing to discuss the offense(s) under investigation, with or without a lawyer present, you have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if you sign a waiver certificate."
Make certain the suspect/accused fully understands his/her rights


## THE WAIVER

"Do you understand your rights?"
(If the suspect/accused says "no," determine what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/accused says "yes," ask the follcwing question.)
"Have you ever requested a lawyer after being read your rights?"
(If the suspect/accused says "yes," find out when and where. If the request was recent (i.e., fewer 'han 30 days ago), obtain legal advice whether to continue the interrogation. If the suspect/accused says "no," or if the prior request was not recent, ask him/her the following question.)
"Do you want a lawyer at this time?"
(If the suspect/accused says "yes," stop the questioning until he/she has a lawyer. If the suspect/accused says "no," ask him/her the following question.)
"At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/accused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the other side of this form. If the suspectlaccused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.)

SPECIAL INSTRUCTIONS

WHEN SUSPECT/ACCUSED REFUSES TO SIGN WAIVER CERTIFICATE: If the suspect/accused orally waives his/her rights but refuses to sign the waiver certificate, you may proseed with the questioning. Make notations on the waiver certificate to the effect that he/she has stated that he/she understands his/her rights, does not want a lawyer, wants to discuss the offense(s) under investigation, and refuses to sign the waiver certificate.

IF WAIVER CERTIFICA־ㅡ E CANNOT BE COMPLETED IMMEDIATELY: In all cases the waiver certificate must be completed as soon as possible. Every effort should be made to complete the waiver certificate before any questioning begins. If the waiver certificate cannot be completed at once, as in the case of street interrogation, completion may be temporarily postponed. Notes should be kept en the circumstances.

PRIOR INCRIMINATING; STATEMENTS:

1. If the supsect/accused has made spontaneous incriminating statements before being properly advised of his/her rights he/she should be told that such statements do not obligate him/her to answer further questions.
2. If the suspect/accused was questioned as such either without being advised of his/her rights or some question exists as to the propriety of the first statement, the accused must be so advised. The office of the serving Staff Judge Advocate should be contacted for assistance in drafting the proper rights advisal.

NOTE: If 1 or 2 applies, the fact that the suspect/accused was advised accordingly should be noted in the comment section on the waiver certificate and initialed by the suspect/accused.

WHEN SUSPECT/ACCUSED DISPLAYS INDECISION ON EXERCISING HIS OR HER RIGHTS DURING THE INTERROGATION PROCESS: If during the interrogation, the suspect displays indecision about requesting counsel (for example, "Maybe I should get a lawyer."), further questioning must cease immediately. At that point, you may question the suspect/accused only concerning whether he or she desires to waive counsel. The questioning may not be utilized to discourage a suspect/accused from exercising his/her rights. (For example, do not make such comments as "If you didn't do anything wrong, you shouldn't need an attorney.")

For use of this form, see AR 190-45; the proponent agency is PMG.

## PRIVACY ACT STATEMENT

| AUTHORITY: |
| :--- |
| PRINCIPAL PURPOSE: |
| ROUTINE USES: |
| DISCLOSURE: |

Title 10 USC Section 301: Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
To provide commanders and law enforcement officials with means by which information may be accurately identified. Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval. Disclosure of your social security number is voluntary.

| 1. |
| :--- |
| 5 |
| 8. |
| 9 |

(b)(2)High

VPB Galifermin vic
(b)(2)High
5. LAST NAMF FIRST NAMF MINतI F NAME
8. OF.,..........................
(b)(3), (b)(6)

C $\ll 1-32 I_{05}$ TAsk Kin
SA+itan

(b)(6)

| $\frac{\text { 3. TIME }}{16.55}$ | 4. FILE NUMBER |
| :---: | :---: |
|  | 7. GRADE/STATUS $t-6=1 / 25=$ |

9. 

I.
(b)(3), (b)(6)
$\qquad$ , WANT TO make the following statement under oath: $C_{i}$







 pocple sot mbinel. nothing Fellows



THE BOTTOM O EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE BE INDICATED.


I. $\quad(b)(3),(b)(6)$ $\square$ , HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT
WHICH BEGIINS ON PAGE 1, AND ENDS ON PAGE $\square$ . I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF FUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUEN
(b)(3), (b)(6)

WITNESSES:
—

$$
\overline{\text { tement) }}
$$

Subscribed and swom to before me, a person authorized by law to


ORGANIZAT ON OR ADDRESS

INITIALS OF PERSON MAKING S b)(3), (b)(6

PAGE OF 3 PAGES

PAGE 3, DA FORM 2823, DEC 1998

## RIGHT: ARNING PROCEDURE/WAIVER CERTIF <br> For use ur this form, see AR 190-30; the proponent agency is ODCSOPS

## DATA REQUIRED BY THE PRIVACY ACT

AUTHORITY:
PRINCIPAL PURPOSE: ROUTINE USES: DISCLOSURE:

Title 10, United States Code, Section 3012(g)
To provide commanders and law enforcement officials with means by which information may be accurately identified. Your Social Security Number is used as an additional/alternate means of identification to facilitate filing and retrieval. Disclosure of your Social Security Number is voluntary.


## Section A. Rights

The investigator whose name appears below told me that he/she is with the United States Army Ciridilices beria ceppcinited as ais investrusifing officer and wanted to question me about the following offenses) of which I am
suspected/accused $\qquad$
$n_{\text {go fe }}$ he/she asked me any questions about the offenses), however, he/she made it clear to me that I have the following rights:
I do not have to answer any question or say anything.
3), (b) Anything I say or co can be used as evidence against me in a criminal trial
(For personnel subject to the UCMJ) I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questionings. This lawyer can be a civilian lawyer I arrange for at no expense to the Government or a military lawyer detailed for me at no expense to me, or both.

- or -
(For civilians not subject to the UCMJ) I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. I understand that this lawyer can be one that I arrange for at my own expense, or if I cannot afford a lawyer and want one, a lawyer will be appointed for me before any questioning begins
3), (b. If I am now willing to discuss the offenses) under investigation, with or without a lawyer present, I have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if I sign the waiver below


1. WARNING - Intiorm the suspect/accused of:
a. Your official position.
b. Nature of effense(s).
c. The fact that he/she is a suspect/accused.
2. RIGHTS - Advise the suspect/accused of his/her rights as follows: "Before I ask you any questions, you must understand your rights."
a. "You do nct have to answer my questions or say anything."
b. "Anything you say or do can be used as evidence against you in a criminal trial."
c. (For personnel subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer
can be a civilian you arrange for at no expense to the Government or a military lawyer detailed for you at no expense to you, or both."

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d. "If you are now willing to discuss the offense(s) under investigation, with or without a lawyer present, you have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if you sign a waiver certificate.*
Make certain the suspect/accused fully understands his/her rights.


## THE WAIVER

"Do you understand your rights?"
(If the suspect/accused says "no," determine what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/accused says "yes," ask the following question.)
"Have you ever requested a lawyer after being read your rights?" (If the suspect/accused says "yes," find out when and where. If the request was recent (i.e., fewer than 30 days ago), obtain legal advice whether to continue the interrogation. If the suspect/accused says "no," or if the prior request was not recent, ask him/her the following question.)
"Do you want a lawyer at this time?"
(If the suspect/accused says "yes," stop the questioning until he/she has a
lawyer. If the suspect/accused says "no," ask him/her the following question.)
"At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/accused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the other side of this form. If the suspect/accused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.)

WHEN SUSPECT/ACOUSED REFUSES TO SIGN WAIVER CERTIFICATE: If the suspect/accused orally waives his/her rights but refuses to sign the waiver certificate, you may poceed with the questioning. Make notations on the waiver certificate to the effect that he/she has stated that he/she understands his/her rights, does not want a lawyer, wants to discuss the offense(s) under investigation, and ref $\lrcorner s e s$ to sign the waiver certificate.

IF WAIVER CERTIFICATE CANNOT BE COMPLETED IMMEDIATELY: In all cases the waiver cerlificate must be completed as soon as possible. Every effort should be made: to complete the waiver certificate before any questioning begins. If the waiver certificate cannot be completed at once, as in the case of street interrogation, completion may be temporarily postponed. Notes should be kep: on the circumstances.

## PRIOR INCRIMINATIING STATEMENTS

1. If the supsect/accused has made spontaneous incriminating statements before being properly advised of his/her rights he/she should be told that such statements do not obligate him/her to answer further questions.
2. If the suspect/accused was questioned as such either without being advised of his/her rights or some question exists as to the propriety of the first statement, the accused must be so advised. The office of the serving Staff Judge Advocate should be contacted for assistance in drafting the proper rights advisal.

NOTE: If 1 or 2 applies, the fact that the suspect/accused was advised accordingly should be noted in the comment section on the waiver certificate and initialed by the suspect/accused.

WHEN SUSPECT/ACCUSED DISPLAYS INDECISION ON EXERCISING HIS OR HER RIGHTS DURING THE INTERROGATION PROCESS: If during the interrogation, the suspect displays indecision about requesting counsel (for example, "Maybe I should get a lawyer."), further questioning must cease immediately. At that point, you may question the suspect/accused only concerning whether he or she desires to waive counsel. The questioning may not be utilized to discourage a suspect/accused from exercising his/her rights (For example, do not make such comments as "If you didn't do anything wrong, you shouldn't need an attorney.")

[^0]

DA FORM 2823, DEC 1998





1. (b)(3), (b)(6)

AFFIDAVIT

WHICH BEGINS ON PAGE 1 , AND ENDS ON PAGE (3), (b). I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INF


| SWORN STATEMENT <br> For use of this form, see AR 190-45; the proponent agency is PMG. |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN). <br> PRINCIPAL PURPOSE: To previde commanders and law enforcement officials with means by which information may be accurately identified. <br> ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval. <br> DISCLOSURE: Disclosure of your social security number is voluntary. |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| 1, PF (b)(3), (b)(6) $\qquad$ , WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH: <br> SSgt. (b)(3), (b)(6) and myself reterned to First platocs VPB Following our mission. The next day we asked if they wanted us to shoot oome rounds where they usually tale confact from. They gave us the go ahead. Myself \& ssgt. (b)(3),(b)(6) gened one curn of Foonds to brauk them down. We checked to make sure the rounds were broken down to charge one $s$ the saptey was Pulled. We then moved into pusition to the front gate whare SSyt.(b)(3), (b)(6) painted out to me where to shoot the rounds. I than crecked the tobe to make sure it was on trigyur f.SC. S. Sgt. ${ }^{(b)(3),(b)(6) ~ S a i d ~ s e t ~ t h e ~ r a n y e ~ F o r ~} 1,000$ meters. He pulled tle round from the tube \& asked me if I was ready. I dovle checked for trigger Fire of the range. SSyt. (b)(3),(b)(6) dropped the round. I chealed my aiming point of range \& fired. The round was yood. SSgt. (b)(3),(b)(6) printed to the next target which was left a few hundred meters from the last. This time the ranae was 1,200 meters. I mickad for trigger fire \& took aim. SSgt. (b)(3), (b)(6) pulled another round and chacked it. Asked ma if I was ready. I oneciced aiming Point \& Runge. Checked for trigger fire. SSgt. (b)(3), (b)(6) dropped the rand. oneaked range ayain und Fipe, The round wus good. The next target was about 3 , (b, 1,000 meters to the right of the last tuo. The range this tima was 1,200 . I moved the range to 1300 \& checled for trigger fire. SSyt. (b)(3), (b)(6) pulled another round asked me if I was ready I checked the range \& uiming paint |  |  |  |  |
|  |  |  |  |  |
| 10. EXHIBIT |  |  |  |  |
| ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF $\qquad$ TAKEN AT $\qquad$ DATED <br> THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE BE INDICATED. |  |  |  |  |


| IAKING STATEMENT | PAGE OF PAGES |
| :--- | :--- |




DA FORM 3881, NOV 1989

## PART II-RIGHTS WARNING PROCEDURE

1. WARNING - Inform the suspect/accused of
a. Your official position.
b. Nature of offiense(s)
c. The fact that he/she is a suspect/accused.
2. RIGHTS - Advise the suspect/accused of his/her rights as follows: "Before I ask you any questions, you must understand your rights."
a. "You do not have to answer my questions or say anything."
b. "Anything you say or do can be used as evidence against you in a criminal trial."
c. (For personnel subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer
can be a civilian you arrange for at no expense to the Government or a military lawyer detailed for you at no expense to you, or both."

- or -
(For civilians not subject to the UCMJ) You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be one you arrange for at your own expense, or if you cannot afford a lawyer and want one, a lawyer will be appointed for you before any questioning begins."
d. "If you are now willing to discuss the offense(s) under investigation with or without a lawyer present, you have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if you sign a waiver certificate."
Make certain the suspect/accused fully understands his/her rights.


## THE WAIVER

"Do you understand your rights?"
(If the suspect/accused says "no," determine what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/accused says "yes," ask the follc.wing question.)
"Have you ever requested a lawyer after being read your rights?" (If the suspect/accused says "yes," find out when and where. If the request was recent (i.e., fewer 'han 30 days ago), obtain legal advice whether to continue the interrogation. If the suspect/accused says "no," or if the prior request was not recent, ask him/her the following question.)
"Do you want a lawyer at this time?"
(If the suspect/accused says "yes," stop the questioning until he/she has a lawyer. If the suspect/accused says "no," ask him/her the following question.)
"At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/accused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the other side of this form. If the suspect/accused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.)

WHEN SUSPECT/ACCUSED REFUSES TO SIGN WAIVER CERTIFICATE: If the suspect/accused orally waives his/her rights but refuses to sign the waiver certificate, you may proceed with the questioning. Make notations on the waiver certificate to the effect that he/she has stated that he/she understands his/her rights, does not want a lawyer, wants to discuss the offense(s) under investigation, and refuses to sign the waiver certificate.

IF WAIVER CERTIFICA- E CANNOT BE COMPLETED IMMEDIATELY: In all cases the waiver certifisate must be completed as soon as possible. Every effort should be made to complete the waiver certificate before any questioning begins. If the waiver certificate cannot be completed at once, as in the case of street interrogation, completion may be temporarily postponed. Notes should be kept on the circumstances.

## PRIOR INCRIMINATING: STATEMENTS:

1. If the supsect/accused has made spontaneous incriminating statements before being properly advised of his/her rights he/she should be toid that such statements do not obligate him/her to answer further questions.
2. If the suspect/accused was questioned as such either without being advised of his/her rights or some question exists as to the propriety of the first statement, the accused must be so advised. The office of the serving Staff Judge Advocate should be contacted for assistance in drafting the proper rights advisal.

NOTE: If 1 or 2 applies, the fact that the suspect/accused was advised accordingly should be noted in the comment section on the waiver certificate and initialed by the suspect/accused.

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[^1]

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 had been issaied to $3^{2 d}$ Marinus in 2 as Mis ${ }^{(0) 16)}$ suid he ded nut see who had iwitated the email. Rock Island Aisenal has beeon in contruct with Mr
${ }^{(0)(6)}$ Accordinvj - Ao Mr. lots that had wot beew identifiel as bery bad but were used in said incrchat are.

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\text { HAW }
\end{array}>\text { SOCAAC B641 -001-005 } \\
& \text { \# MA-COT-060-005 > DODAC B643 }
\end{aligned}
$$

$\qquad$
$\qquad$
ACTION RE:QUIRED
Intervieuel Mr.
(b)(6)

(b)(3), (b)(6)


ACTION TAKEN
$\bar{s}$
(b)(3), (b)(6)

- 50271 -101


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T A B I
$$



USE THIS PAGE IF NEEDED. IF i HIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF $\qquad$ TAKEN AT $\qquad$ DATED $\qquad$
9. STATEMENT (Continued)



1. WARNING - Inform the suspect/accused of:
a. Your official position.
b. Nature of offense(s).
c. The fact that he/she is a suspect/accused.
2. RIGHTS - Advise the suspect/accused of his/her rights as follows: "Before I ask you any questions, you must understand your rights." a. "You do not have to answer my questions or say anything."
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Make certain the suspect/accused fully understands his/her rights.

| THE WAIVER |  |
| :---: | :---: |
| "Do you understand your rights?" <br> (If the suspect/accused says "no," determine what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/accused says "yes," ask the following question.) <br> "Have you ever reque sted a lawyer after being read your rights?" <br> (If the suspect/accused says "yes," find out when and where. If the request was recent (i.e., fewer than 30 days ago), obtain legal advice whether to continue the interrogation. If the suspect/accused says "no," or if the prior request was not recent, ask him/her the following question.) | "Do you want a lawyer at this time?" <br> (If the suspect/accused says "yes," stop the questioning until he/she has a lawyer. If the suspect/accused says "no," ask him/her the following question.) <br> "At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/accused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the other side of this form. If the suspect/accused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.) |

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COMMENTS (Contirued)


(b)(2) High








PAGE 3, DA FORII 2823, uL6 IS30

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG

## PRIVACY ACT STATEMENT


$H H C \quad 3^{A t} B C T$
9.
$\mathrm{I}, \quad(\mathrm{b})(3),(\mathrm{b})(6)$
I took pictures with my camera of the location of the hiring incident that took place in the Peach Valley Afghanistan. The pictures were taken standing at the lector the mortar was placed at to firming locking to the village where the 60 mm mortar rewind impacted. The picture) were taken $2406 T$ of and then given to CW3 (b)(3), (b)(6) (b) (b)
(3), (b)


THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE BE INDICATED.

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STATEMENT OF 9. STATEMENT (Continued)
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$\qquad$ TAKEN AT $\qquad$ DATED $\qquad$

## AFFIDAVIT

I, $\qquad$ . HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT
WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE $\qquad$ I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.
(Signature of Person Making Statement)
WITNESSES:
administer oaths, this $\qquad$ day of $\qquad$ , at

ORGANIZATION OR ADDRESS
$\qquad$
(Signature of Person Administering Oath)
$\qquad$


| INITIALS OF PERSON MAKING STATEMENT | PAGE |  |
| :--- | :--- | :--- |

Pages 76 through 81 redacted for the following reasons:
(b)(1)1.4a, (b)(1) 1.4 c
(b)(2) High, (b)(3) and (b)(6) apply.
(b)(2)High


[^0]:    COMMENTS (Contir:ued)

[^1]:    COMMENTS (Continued)

